

848075/0076

TFW

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/797,531

Applicant: Hideki Takada, et al.

Filed: March 10, 2003

Title: MASTER-FEEDER HEDGE FUND PRODUCT STRUCTURE

Examiner: TBA

Art Unit: 2612

Mail Stop DD  
Commissioner for Patents  
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Alexandria, VA 22313-1450

Date of Deposit: October 17, 2005

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By

  
Sarah Schlie**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97**

SIR:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits a Supplemental Information Disclosure Statement for consideration by the Examiner.

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

It is believed that this disclosure, pursuant to 37 C.F.R. §§ 1.97 and 1.98, complies with the requirements of 37 C.F.R. § 1.56 and the Manual of Patent Examining Procedures, Section 707.05(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account 500675, Order No. 848075-0076.

Respectfully submitted,

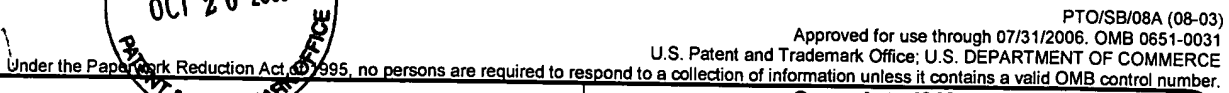
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Dated: October 17, 2005  
New York, New York

By: 

Reine Glanz

Reg. No. 46,728



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

Application Number	10/797,531
Filing Date	March 10, 2003
First Named Inventor	Hideki Takada
Art Unit	2612
Examiner Name	Not yet assigned
Attorney Docket Number	848075/0076

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	2	of	2
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Application Number	10/797,531
Filing Date	March 10, 2003
First Named Inventor	Hideki Takada
Art Unit	2612
Examiner Name	Not yet assigned
Attorney Docket Number	848075/0076

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>d</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 61-002112	01-08-1986	Hitachi Ltd.		✓
		JP 62-011813	01-20-1987	Olympus Optical		✓
		JP 11-202177	07-30-1999	Chinontec		✓
		JP 05-127058	05-25-1993	Nikon Corp		✓
		JP 05-075937	10-15-1993			✓

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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